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| Concept | Pre-Proposal | Investment Development | Management & Close |

Progress Narrative

Global Development

Use this form to provide updates to your foundation program officer regarding progress made toward achieving your project’s stated outputs and outcomes.

The Progress Narrative must be submitted in Word, as PDFs will not be accepted.

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| General Information |
| Investment Title | Capacity Support to the Ministry of Agriculture and Rural Development for the Implementation of the Agricultural Transformation Agenda in Nigeria |
| Grantee/Vendor | UNDP |
| Primary Contact | Pa Lamin Beyai | Investment Start Date | August 28, 2012 |
| Feedback Contact1 | Francis Neuman, UNDP-Nigeria  | Investment End Date | June 30, 2015 |
| Feedback Email1 | Francis.neuman@undp.org | Reporting Period Start Date | August 1, 2013 |
| Program Officer | Jacob Mignouna | Reporting Period End Date | July 31, 2014 |
| Program Coordinator | Jacob Mignouna | Reporting Due Date | August 31, 2014 |
| Investment Total | $ 4.958.439 | Opportunity/Contract ID | OPP1060666 |
| Scheduled Payment Amount (If applicable) | $ 744,715 |  |  |
| 1 **Feedback Contact/Email**: The full name and email of the contact whom foundation staff queries for various surveys. |
| Submission Information |
| *By submitting this report, I declare that I am authorized to certify, on behalf of the grantee or vendor identified on page 1, that I have examined the following statements and related attachments, and that to the best of my knowledge, they are true, correct and complete. I hereby also confirm that the grantee or vendor identified on page 1 has complied with all of the terms and conditions of the Grant Agreement or Contract for Services, as applicable, including but not limited to the clauses contained therein regarding Use of Funds, Anti-Terrorism, and Subgrants and Subcontracts.* |
| Date Submitted | February 11, 2015 | Submitted by Contact Name | Francis Neuman |
|  |  | Submitted by Contact Title | KM Advisor |
|  |  | Submitted by Contact Email | francis.neuman@undp.org |
|  |  | Submitted by Contact Phone | +234 7054197462 |
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# Progress and Results

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| Progress Details |

Provide information regarding the current period's progress towards achieving the investment outputs and outcomes. In addition, submit the Results Tracker with actual results as requested.

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| **Overview** The goal of the project is to strengthen the capacity of the Federal Ministry of Agriculture and Rural Development (FMARD) to effectively implement the Agriculture Transformation Agenda (ATA). The support is provided along 4 workstreams namely Agribusiness, Knowledge Management (KM), Policy support and Organization & Management.* Substantial progress has been made in supporting FMARD with implementing ATA, which is seen as model for other countries in Africa and other continents with increasing production, huge investments linked with increased number of suppliers and actors along the value chain.
* In this reporting period a start was made with developing a roadmap to build capacity for ATA stakeholders with IFPRI/ USAID. The prime focus is strengthening key areas of Agribusiness, KM, Policy and O&M in FMARD. The FMARD Agribusiness and Investment Division is spearheading translation and internalizing the paradigm shift. Since developing capacity in complex areas requires sustained efforts, a 5-year outlook is prepared.
* Ensuring use of knowledge by all stakeholders in ATA is essential for sustaining and upscaling success of ATA at policy, program and agribusiness and farmer level. At present hardly any relevant information for ATA is found on-line. Preparations have started to develop the KM Framework and System to underpin communication, learning, M&E, planning and management of ATA. Awareness raising and training on Knowledge Management enhanced basic capacity of 170 FMARD staff for sharing and managing information.
* The contours for enhanced policy work for ATA are emerging with the identification of internationally reputed experts of the Policy Working Group. A start is made with developing subsequent policies e.g. on commodity certification.
* For Organization & Management regular training of the FMARD Secretariat takes place.
* Through the systematic efforts the Project is increasingly creating alignment in policy and attracting complementary funds from developing partners as USAID, FAO and World Bank with an outlook for synergies with GIZ and other partners.

**Highlights of the activities during the reporting period (1 August 2013 – 31 July 2014)**The accomplishments of the project during this period, vis-a-vis milestones per each objective, are as following:***Objective 1: Strengthened capacity within the Federal Ministry of Agriculture and Rural Development to bring about rapid emergence of growth-enhancing systems and to facilitate robust private sector participation in the development of agriculture and agri-business******Milestone i:*** *Recruitment of technical experts and sector specialists** Since inception, the project has successfully recruited six positions. This includes the four Technical Advisers on a) Agribusiness, b) Food & Agricultural Policy, c) Operations & Management and d) the Special Assistant to the Honourable Minister of Agriculture and Rural Development (HMA). Two support positions are in place namely to the e) Operation and f) Programme Associate.
* The position of Investment Advisor was replaced by that of a Knowledge Management (KM) specialist and the position filled in November 2013. Based on FMARD’s needs the position of the Resource Mobilization, Partnerships and Donor Relations (RMPD) expert was changed into Strategic Communication Adviser .The ToR for the position is being reviewed and awaiting approval by the Minister. The position of PA to HMA was terminated in April 2014 due to insufficient performance and replacement is considered. Meanwhile tasks are distributed and carried out by the O&M manager. The recruitment of the Project Finance and Administrative position is in process.

***Milestones ii-iii***: *Capacity building activities and events to promote understanding of Value Chains and inclusive Market approaches among FMARD officials with enhanced management skills for market development activities*Whereas dispersed training took place in the past without impact, HMA requested a systematic approach to capacity development. In this period a start was made with developing a capdev trajectory with 5-year workplan in a multi-stakeholder effort with USAID/IFPRI.During the strategic planning and subsequent processes FMARD identified the need to become a learning organization and develop a systematic approach in the period 2014/2015 to institutionalize the technical expertise provided temporarily by consultants.Progress has been made with strengthening organizational capacity of FMARD in administrative and financial areas for smooth processing of requests, payments and reporting on processes and programs to both UNDP and FMARD. Capacity on the program side was enhanced in various ways: * 160 senior officers of FMARD were trained to understand the value chain approach and build skills for inclusive market development. A strategy for strengthening FMARD capacity for Agribusiness Investment facilitation and Promotion has been prepared and approved. This includes a generic training targeted at 45 senior officials, including Value Chain team Leaders, Unit Directors and Desk Officers. A standardised curriculum was prepared and will be adapted for e learning to widen access.
* In two workshops, 65 Senior Officers of FMARD were introduced to the concept of private sector investment facilitation in alignment with the ATA concept of treating ‘agriculture as a business’. Participants gained better understanding and appreciation of the strategic shift from treating agriculture as a development program to treating agriculture as a business, focusing on the concept of government-enabled, private-sector led agricultural transformation agenda. This will drive participant’s ownership of ATA achievements and overall sustainability. It also led to a better understanding of using agriculture for job creation, lifting millions of smallholder farmers out of poverty and diversifying the economy from oil towards agriculture. The workshops were led by the FMARD Agribusiness and Investment Division with consultants support. Two consultants, a local resource and another from South Africa were recruited, thereby promoting South-South collaboration.
* In line with the recommendations of the 19th Nigeria Economic Summit Group (NESG) for a name change of FMARD to Federal Ministry of Agriculture, Agribusiness and Rural Development, the Agribusiness and Investment Unit has been upgraded to the ‘Agribusiness and Investment Division (A&ID)’. Similarly, the Agro-Processing and Marketing Department has changed to ‘Agribusiness and Marketing Department’.
* The A&ID will be provided with technical assistance for structuring work processes and establishment of a work programme to provide continued support and effective services to agribusiness investors.
* Over 170 FMARD staff was trained on basic on-line sharing and managing of knowledge on value chains and related policies and programs in ATA. For policy analysis, capacity development included weekly 2-hour training for 4 months on basic methodologies for FMARD staff with responsibilities for policy development, analysis and review.
* FMARD has requested permission from Federal authorities to establish a Policy Unit for policy analysis and direct and underpin support FMARD decision-making. Establishing and equipping such a unit is part of the capdev process.
* Technical support was provided for the production of the ATA Scorecard 2013 as well as communication to stakeholders on progress and achievements of ATA.
* An outline for a conceptual Framework for M&E was developed and M&E working group if FMARD started. Subsequently support by ALINe/ BMGF was prepared for developing a M&E Framework for commodities combined with a data analysis tool. This will be carried out in consultation with NBS and NPC and tuned-in with other M&E tools. Testing will be done in 2 pilot States (Benue, Kogi) and filled with best available statistical data. It will serve as an example for the type of analysis for M&E of ATA that can be done with appropriate data and tools.

***Milestone v:*** *Effective knowledge flows between stakeholders in ATA.** A start was made with exploring knowledge systems and flows with key stakeholders as NBS, ARCN, Cellulant, development partners and FMARD Departments as a scoping study of the knowledge landscape in Nigeria.
* Over 170 FMARD staff was trained on basic on-line sharing and managing of knowledge on value chains and related policies and programs in ATA. The need to raise awareness, develop capacity and KM procedures as well as for major improvements in connectivity and laptops is a prime requirement for key stakeholders in ATA including FMARD as most knowledge sharing is done face-to-face and via hardcopy.
* FMARD identified the need for a KM Framework and System for ATA in 2014/15 to optimize use of resources and ensure sustainable and effective implementation of ATA. The Framework and System should enhance knowledge flows between stakeholders at federal, state and local level and address user-needs for policy, management, M&E, learning and innovation. Required functions include n e-library, organization directories for e.g. agro-dealers and research institutes, project overviews at federal and state level, links to M&E systems as well as good agricultural practices. The wide framework positions initiatives and allows synergies. The impact of systematic knowledge sharing are major as presently hardy any agricultural information is accessible or knowledge shared on-line in Nigeria.

***Objective 2: A policy framework and institutional infrastructure that support robust private sector participation in agriculture and agricultural business development*** ***Milestones i-ii:*** *A functional and effective secretariat established to support stakeholder dialogue and consultation; a*nd *Design systems to support the Agricultural Transformation Implementation Council (ATIC)** The first ATIC meeting was held at the Presidential meeting rooms in May 2012, the second in November 2012 and third in July 2013. It was then agreed that only one ATIC meeting was required per year. Budgets for both 2012 and 2013 were prepared and included in FMARD’s Annual budget. Reports of these meetings were edited and published.

***Milestones iii:*** *Enhanced exchanges between FMARD and key Agricultural sector stakeholders* * Several systematic exchange mechanisms have been set up by the project. This includes the Nigeria Agribusiness Group (NABG), NABG Executive Leadership Group. The overall result of the enhanced exchanges is an increase of private sector investment commitment from $4 billion in 2013 to $5.6 billion by end-of-year 2014. Additional are commitments for $5 billion investment in the seed and fertilizer sub-sectors.
* The Executive Leadership Group of the Nigeria Agribusiness Group (NABG) was launched to facilitate policy reforms for inclusive market growth of all value chain stakeholders (input suppliers, smallholder, commercial farmer associations, aggregators, traders, processors, marketers, consultants, etc.) the project supported drafting and review of NABG bylaws and constitution for sustainability of ATA. This has been hailed as an unprecedented example of establishing a regular dialogue between the private sector and government.
* The Kenya-Nigeria Agribusiness Forum was launched to promote trade and investments between East Africa and West Africa and foster South-South cooperation.
* The Transformative Partnership was launched to reduce malnutrition and stunting in Nigeria and Africa at large through increased investments in food processing, marketing and distribution of nutrient-rich/fortified high-energy convenient foods from staple crops such as maize, sorghum, soybeans, dairy and fisheries. In-country production is expected to drive inclusive market growth and facilitate access to affordable financing.

***Milestone iv Obj 1****: iV Obj 2 Studies on key aspects of inclusive market development to support implementation of ATA* ***Milestones iv:*** *improved legal and regulatory environment, supportive of agriculture sector development*Successful implementation of the ATA will hinge upon sound, evidence-based policy. Policies need to be prepared or adjusted to support implementation of ATA. The contours of the tasks and candidates for the ATA Policy-Working Group were identified and a start made by identifying critical bills and policies. The following policy supportive studies were carried out: * *Development of a Commodity Certification Framework.* This activity focuses on ways to increase farmers’ income through improved access to efficient markets for agricultural commodities. Solidaridad West Africa was contracted to prepare a national framework for commodity certification and traceability in the period between March and December 2014.

*- Nigeria Agribusiness Supplier Development Programme.* * Opportunities to create a supply -security platform (private sector agency under public-private partnership arrangement) has been identified by the NABG as a critical factor to the success of SCPZ implementation over the next 5 years.
* The NABG is willing to partner with government in the implementation of the Nigeria Agribusiness Supplier Development Programme to ensure inclusive market growth and price stabilization mechanisms. This was a direct outcome of the consultative review of the SCPZ policy framework by NABG.
* Social marketing advertisement and promotion materials were developed in collaboration with potential vendors for review by FMARD Management. The goal of the cassava bread social marketing campaign is to enhance consumer awareness and consumption of cassava bread as well as increase investments in the cassava value chain from production to processing High Quality Cassava Flour (HQCF) and HQCF bread and related products.
* *Development of an institutional framework for implementing Inclusive market interventions in Nigeria.* Consultants have been recruited for a preparatory study to assess the Nigerian agribusiness climate within the inclusive market context and develop alternative strategies for adopting agribusiness for creating jobs for youths, women and other majority of the rural population.  A study carried out to establish a context for inclusive market development in Nigeria resulted in the preparation of market heat maps based on available data to highlight issues identified as relevant to commodity value chain development. As part of the process, an investor brief, focusing on opportunities for new investment was also prepared. The study collated useful information as background for a number of interventions that have since been designed and implemented as part of ATA. Findings from the study were incorporated into value chain and inclusive market training for FMARD officials.
* *Private sector investment studies.* Monitor Deloitte was recruited with funding from USAID to conduct two studies on private sector investment facilitation and showcase investment opportunities in Staple Crop Processing during the World Economic Forum Africa (WEFA) 2014 in Abuja, Nigeria. Funding mobilization through this USAID partnership reached a total amount of $656,000.

***Objective 3: Stimulate the development of rural economies, assure more markets for farmers and facilitate emergence of secondary occupations through increase in value adding activities.******Milestone i:*** *Workshops to facilitate private sector investments in value chain infrastructure in collaboration with State and Local governments** Sixteen private sector investors at different stages of the investment cycle with total investment commitment of $1.8 billion were identified as a top priority for government intervention to drive inclusive market growth and improved government coordination.
* Studies were commissioned by FMARD and Monitor Deloitte to identify investment constraints for the priority investors. The 3 major challenges were a) lack of government coordination (100%), b) inconsistency in regulatory, tax and policy implementation (94%), and c) lack of supply security (75%). Other constraints were infrastructure/financing (69%), land (63%), human capital (50%) and insecurity (38%).

As a response to these major challenges the following was taken up:* + An inter-ministerial agribusiness working group and project teams will be launched in 2015 to strengthen government coordination and accountability to the 16 priority investors.
	+ The Executive Leadership Group of the NABG has been mobilized to support ATA policies and implementation, to promote partnerships, build smallholder farmers-market linkages and develop prize-stabilization mechanisms.
	+ Collaboration catalyzed between NIRSAL (CBN), FMARD and UNDP to design an Agribusiness Supplier Development Programme (ASDP) as a solution to supply-security and price stabilization as demanded by the Nigeria Agribusiness Group.
	+ A study carried out to provide context for inclusive market development and ensure that considerations are given for interest of smallholder farmers and rural populations.
	+ A database with over 150 agribusinesses developed to improve market-access linkages and access to inclusive financing.
	+ Additional studies identified for engendering understanding and creating knowledge products to support implementation of ATA and ensure impact.
	+ A systematic process started to address capacity development challenges of FMARD and other government agencies for implementing ATA
* Overall private sector investment commitments have increased from $4 billion in 2013 to $5.6 billion in 2014. The investments spread across 21 States of Nigeria as a result of FMARD’s effort to enhance public-private relationships, investment and business support services as well as improved fiscal and infrastructure policies and programs including development and promotions of the Staple Crop Processing Zones (SCPZ).
* Nigeria hosted the Grow Africa Forum and World Economic Forum Africa (WEFA) 2014 in collaboration with the G8 New Alliance on Food Security and Nutrition to increase foreign investments in the agribusiness sector. The events were facilitated by the Agribusiness and Investment Division including compilation and review of private sector progress towards job creation and actual investment spending. The Grow Africa report showed $608 million in expenditure had been made, with $581 million in capital expenditure and $30 million in operating expenditure. Over 22,000 new jobs were created by 9 of 30 companies who reported on jobs; 5 of 30 reported on reaching over 7,000 smallholder farmers.

***Milestone ii:*** *Staple crop processing zones (SCPZ) for selected crops;* * The SCPZ provides an institutional framework and mechanism to address post-harvest losses, inclusive market growth and rural infrastructure constraints. One of the key constraints for investment cited by the private sector is the lack of adequate infrastructure facilities for agricultural production, storage and handling, and food processing value addition. Three separate surveys amongst the Community of Agricultural Stakeholders of Nigeria, 75 private sector agribusinesses and 16 priority agribusiness investors listed lack of adequate infrastructure (power, gas, water, roads and ICT) as major deficits. The studies were led by the project team in collaboration with FMARD and USAID.
* Working in collaboration with consultants and MDAs, the SCPZ project team developed the SCPZ Draft Policy and SCPZ Draft Bill for approval by the Federal Executive Council (FEC) and by the National Assembly, respectively.
* The content of the SCPZ policy framework was developed and refined through multi-stakeholder consultative workshops led by the Project team. This included consultations with smallholder farmers in Kogi State and the NABG to improve readiness of the SCPZ policy document and draft Bill for approval.
* The SCPZ team has designated 13 initial SCPZ locations across several States and geo-political zones for key value chains including rice, cassava, sorghum, horticulture (tomato and pineapple) and fisheries. SCPZ Master Plans for each of the 7 priority states/sites have been developed by UNIDO in collaboration with FMARD and the project team. Investment briefs were developed for 7 priority SCPZ States including Anambra, Enugu, Rivers, Lagos, Kogi, Niger and Kano. Monitor Deloitte was recruited by the project team with funding by USAID to develop the briefs in preparation for the WEFA 2014 Side Event on SCPZ which was held in Abuja.
* To further increase private sector investments in SCPZ, roadshows and presentations were made at domestic and international fora including Grow Africa and World Economic Forum Africa 2014, Africa Green Revolution Forum 2014 in Addis-Ababa, Ethiopia, Nigeria Investment Forum in New York, NY, European Union Africa Agribusiness Forum in Brussels, European Marketing and Research Commission Forum in Kigali, Kenya-Nigeria Agribusiness Forum in Nairobi, etc.
* Inputs from stakeholders during domestic and international SCPZ roadshows were incorporated in the SCPZ draft policy and draft Bill documents which has resulted in fast tracking of funding by the African Development Bank (AfDB) and the World Bank.
* A documentary on SCPZ concept is under production as a communication and advocacy tool for federal and state government investment and trade promotions.
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| Project Adjustments |

For each outcome or output that is behind schedule or under target, explain what adjustments you are making to get back on track.

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| **Objective 1: Strengthened capacity within the Federal Ministry of Agriculture and Rural Development to bring about rapid emergence of growth-enhancing systems and to facilitate robust private sector participation in the development of agriculture and agri-business*****Activity:*** *Recruitment of technical experts and sector specialists** In view of the fact that this phase of the support to FMARD is limited to June 2015 contracts can only be of a short nature. Using short-term consultants is a feasible option. A combination of measures will be applied to ensure tasks are covered. The tasks of PA will be partially taken up by the O&M advisor and partially by recruiting a dedicated secretary. The tasks of Strategic Communication Advisor will be addressed by outsourcing some of key outputs. The Finance/ Admin position is scheduled to be fast-tracked.
* *FMARD contracts of Value Chain Consultants.* There is a need to improve understanding in the Ministry on responsibilities of the O&M Advisor towards management of the consultants. *Corrective measures:* a regular meeting of senior management of the three institutions is planned to create clear understanding of the strategic direction of the project and the role of the various positions including O&M.
* *Liaison UNDP and FMARD*. Suitable office space for Project staff was only partially in place in this period. *Corrective measures:* During the strategic planning meetings, project staff identified the need to have 3-4 monthly meetings between UNDP and FMARD management to enhance comprehension of activities and synergies and resolve outstanding issues such as office space.
* *Financial Management*. Tasks for financial planning were performed by the Program Manager. The need for recruitment of a Financial and Administrative Associate was identified but delayed due to staff changes in UNDP. *Corrective measures:* The recruitment of the Financial and Admin Position is to be fast-tracked and additional UNDP support provided when needed.
* *Project staff Management*. The task of the Personal Assistant to the Minister is presently covered in an ad-hoc manner by various staff including the O&M manager. *Corrective measures*: To support the daily operations, the Minister has requested recruitment of an Executive Assistant. The ToR has been prepared, a suitable candidate identified and contract request processed to UNDP.
* *Part of the financial and administrative tasks* are carried out by program staff increasing their workload and causing delays. Limited programmatic support has been signaled by Advisors. *Corrective measures*: The recruitment of the Financial/ Admin position will ease part of the burden. The ToR of the Operations Associate position will be adjusted in line with project needs to support Senior Technical Advisers use of additional support staff or interns may be explored.
* *Project Asset Management and Branding*. Equipment purchased in the beginning of the project is yet to be tagged. *Corrective measures*: The remaining equipment purchased by the project before the Operations Manager came on board are being identified for tagging.

***Outcome:*** *Technical personnel within the FMARD are able to deploy best practices and lessons learned into the implementation process of ATA** *Institutional KM capacity FMARD*: The PS ordered set-up of a KM unit with 4 staff. A start is made but only 2 junior staff are available on an ad-hoc basis and no formal set-up in place. Capacity is needed for KM support and training in FMARD and partners. *Corrective measures:* Formal commitment of FMARD on building KM capacity will be sought including allocation of staff and budget to FMARD in line with the KM and Capdev Framework for ATA. Recently the PS and HMA have endorsed it. The KM Framework and capdev framework will outline systematically needs for a KM unit.
* *Capacity and skills development*. Progress has been limited as a result of the prohibitive work schedule of the Policy Advisor and delays with work planning. *Corrective measures*: Work is in progress to prepare a curriculum to address identified training needs of the current Policy staff.
* *Policy capacity development*. To meet the Ministry’s long-term capacity needs for policy development, analysis and review, emphasis will be placed on strengthening FMARD policy capacity in addition to the PWG. *Corrective measures:* Building the Ministry’s capacity for improved policymaking is crucial. Where possible capacity of the Project team will be leveraged to support the Policy Advisor in this area. The capacity development plan will address this in combination with IFPRI.

***Objective 2: A policy framework and institutional infrastructure that support robust private sector participation in agriculture and agricultural business development******Output****: A functional and effective secretariat established to support stakeholder dialogue and consultation (The Agricultural Transformation Implementation)** *Agricultural Transformation Implementation Council (ATIC)*. It has been agreed that an Annual Planning meeting and review of progress would suffice for the ATA strategic planning. *Corrective measures:* A circular from the Presidency was sent out to this effect

***Output:*** *Enhanced exchanges between FMARD and key Agricultural sector stakeholders* * *Private sector engagement*. This must be further pursued by development and alignment of a demand-driven training curriculum, monitoring and evaluation. *Corrective measures:* Engagement of the Nigeria Agribusiness Group (NABG) is scheduled in the Agribusiness and Investment Facilitation Training Workshops for staff of FMARD and MDAs. Also engagement of NABG is sought for strengthening FMARD with the design and review of policies and incentives of strategic public-private partnerships between countries.

***Outcome:*** *Improved legal and regulatory environment, supportive of agriculture sector development** *Policy Working Group*; Inauguration was delayed due to time constraints in the agenda of the Minister and unclarity on availability of funds. *Corrective measures:* Funds were sourced and identified from donors, especially WB and AfDB/AGPRO.
* *Integral National Agriculture, Food & Nutrition policy*. The Nutrition Advisor was recruited in June 2014 and PWG installed in Sept 2014. Work on the national policy was awaiting this. *Corrective measures*: The workplan 2014/15 includes a national policy workshop to fast-track the debate on the national AF&N policy.
* *Regulatory Framework for certification of agricultural products*. Implementation has been delayed because Solidaridad had to reconstitute the team due to loss of key members. *Corrective measures*: Plans have been reviewed and new dates established for recommencement.

***Objective 3: Stimulate the development of rural economies, assure more markets for farmers and facilitate emergence of secondary occupations through increase in value adding activities.******Activity:*** *Workshops to facilitate private sector investments in value chain infrastructure in collaboration with State and Local governments** *Storage studies on extended onion shelf-life*; Using the Blumberg Artic Warehouse is unlikely in 2014 due to delays in signing agreements and completion of due process for the purchase of the vaults. *Corrective measures:* Local best practices in other African countries are being adopted by Kebbi Onion growers and marketers. Training workshops were attended by Kebbi Onion Farmers in Burkina Faso to learn about best practices.
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| Feedback for the Foundation |

Provide one to three ways the foundation has successfully enabled your work so far. Provide one to three ways the foundation can improve.

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| *Enabling role of the Foundation:** Basic funding to position experts and ensuring catalytic strategic activities within strategic partner organizations proves to be essential. It prepares the way for investments by FMARD and attracts other parties incl. development partners
* Pro-actively engaging with host and implementing organization to keep the project momentum and signal early changes and new critical areas for support.

*Suggested ways for further improvement:** Encourage use of structured planning exercise as part of future projects. It helps to systematically address the various aspects of support and related funding requirements. It provides a wide framework for investment and collaboration. Incorporate operational costs for strategic catalytic activities from the start in the project design
* Assure a clear project management structure from the start.
* Build-in regular dialogue between the three parties for the start
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| 1. **Technology and Information Management**
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**If you answer “yes” to any of the questions below, you must complete the** [**Technology and Information Management Attachment**](http://www.gatesfoundation.org/grantseeker/Documents/Technology_Attachment.doc) **and submit it along with your progress narrative. If you have submitted an annual report previously and nothing has changed from your previous submission, please indicate “no change”.**

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| **Question** |  | **Yes/No/No Change** |
| **Do any Third Parties1 have Rights2 to Background Technology3?** |  | Use is made of the on-line platform Teamworks hosted by UNDP. The need to continue use will be reviewed in 2014. |
| **Do any Third Parties have Rights in Project Technology4?** |  | na |
| **Have you filed any copyright registrations for or patent applications claiming any Project Technology?**  |  | na |

1 **Third Parties**: All individuals, organizations or companies that have not executed a foundation approved collaboration agreement associate with the project.

2 **Rights:** (i) Any interest in patents, patent applications and copyrights (e.g. license, ownership, option, security interest and (ii) the rights to use any technologies, information, data or materials.

3 **Background Technology**: All technologies and materials, and all associated Rights, used as part of your project that were created prior to or outside of the project.

4 **Project Technology**: All technologies and materials created, conceived or reduced to practice as part of your project and all associated Rights.

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| Clinical Studies and Regulated Research |

Answer the questions below only if you completed the Regulated Research module with your proposal.

**If you answer “yes” to any of the questions below, you must complete the** [**Clinical Studies and Regulated Research Assurances Attachment**](http://www.gatesfoundation.org/grantseeker/Documents/Clinical_Studies_Attachment.doc) **and submit it along with your progress narrative.**

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| **Question** |  | **Yes/No** |
| **Will the project involve a clinical trial1?****According to the definition provided, what phase(s) will the project include (Phase I, II, III, or IV)?** |  | Phase no |
| **Does your project involve research using human subjects2 and/or vertebrate animals?** |  |  |
| **Does your project involve the use of recombinant DNA?**  |  |  |
| **Does your project involve the use of biohazards or genetically modified organisms or plants?** |  |  |
| **Will the project involve the use of pathogens/toxins identified as select agents3 by U.S. law?** |  |  |

1[clinical trials](http://www.gatesfoundation.org/grantseeker/Documents/Clinical_Trials.pdf)

2[human subjects](http://www.gatesfoundation.org/grantseeker/Documents/Human_Subjects.pdf)

3[select agents](http://www.selectagents.gov/Select%20Agents%20and%20Toxins%20List.html)

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| Subgrants |

If your grant agreement (not applicable to contracts) is subject to expenditure responsibility and permits you to make subgrants to organizations that are not U.S. public charities or government agencies/instrumentalities, please complete the [Subgrantee Checklist](https://docs.gatesfoundation.org/Documents/Subgrantee%20Checklist.docx) and attach a copy with this progress narrative for each such subgrantee.

N.a.

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| Updated Budget Template |

Unless otherwise directed by foundation staff, please use the Actual Costs & Expected Funding tab on the budget template to report total expenditures against the most recent foundation-approved budget. You should also use the Period *X* Reforecasting tab (where *X* represents the relevant budget period) to forecast future expenses. Finally, please provide updated information on the Geography & FX Estimates tab in the Reporting & Reforecasting section of the budget template for Location of Work, Geography Served, and Currencies. Please follow the instructions in the budget template carefully and let your program officer know if you have any questions.

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| General Budget Progress |

Describe the general progress of meeting budget expectations; including where the project is progressing as expected, where it is not, whether the project is still on track to be completed within the proposed budget, and if not, what proposed modifications are contemplated.

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| The project meets overall budget expectations and is generally on track though it went through several phases.* Year 1 was characterized by establishing a basic working infrastructure and institutional relations. Only part of anticipated staff was on-board and hence only part of the expected budget was used.
* Year 2 was characterized by getting most of anticipated staff on board and realigning priorities of the support with FMARD needs. These were captured in the workplan and budget 2014-2015 and implementation prepared. Expenditures in this period related to personnel costs increased but overall expenditures were still slightly below expected budget as implementation of activities awaited approval of the workplan.

Expenditures in year 2 (Aug. 2013-July 2014) were composed of the following:* Personnel (1.002,643 USD) cost of up to 6 senior technical advisors and 2 support staff
* Travel (39.060 USD): for local travel as well as international/ regional travel for policy support to Rwanda, Senegal and BMGF interaction to USA.
* Capital equipment (50.798 USD): this includes office equipment as laptops as well as generators to cover power failures in FMARD.
* Consulting (345.445 USD): this was used for preparing and implementing the road shows, (agribusiness), the preparatory study for the national framework for commodity certification and strategic planning facilitation.
* Other direct costs (21.751 USD): this covers mainly cost for communication, Internet, office equipment and meetings.
* For year 3 an increase in expenditures is scheduled with implementation of the activities for the 4 workstreams of the approved workplan. The budget includes catalytic activities in strategic areas that can attract resources from FMARD or development partners. The budget for year 3 is based on the original commitments of BMGF, UNDP and FMARD. A reduced level of UNDP contribution for 2015 may have a limiting effect on the final output of the project. Additional funding from development partners will be sought where needed.
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| Budget Variances |

For variances that exceed 10 percent in either direction in the Total Cost category (i.e. Total Personnel, Total Supplies, Total Equipment), please describe these in bullet 2 of the budget narrative (see below).

Variances are explained as below:

1. ***Personnel***. This category is slightly reduced as compared to the original budget due to delayed hiring of a new PA for HMA, a Strategic Communication Advisor and a Finance/ admin support.

2. ***Travel:*** travel costs are reduced, as travel is limited to mainly Abuja and costs additionally covered by FMARD.

3. ***Sub grants:*** these were not carried out.

4. ***Capital equipment:*** costs are low as the project focused on purchasing only basic functional office equipment. In this period generators were paid and laptops for the project

5. ***Consulting:*** supportive consultancies have been carried in year 2 for the Agribusiness and Policy support activities especially for the Agribusiness Roadshows and the Commodity Certification framework.

***6. Other direct costs:*** costs are higher as they include main operational cost as small equipment as printers, connectivity, telephone costs and meetings for the workstreams.

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| Budget Plans for Next Reporting Period |

Explain any significant reforecasting, any impact that the reforecasting will have on the total budget, and how your organization will be able to successfully perform within the reforecasted budget.

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| The support to FMARD has gone through an adjustment phase attuning to emerging and current FMARD priorities in March 2014. After the strategic planning exercise, the workplan and related budget 2014/15 was prepared with catalytic activities for each of the 4 workstreams, anchored on original BMGF and UNDP financial contributions. In the period April- Aug 2014 activities were prepared, pending clarity about remaining funds for activities and approval of the updated and prioritised workplan. With approval of the workplan in Sept. 2014 implementation of approved activities commenced. These focused on a) the preparation of the FMARD capacity development plan with FMARD and stakeholder engagement, b) FMARD Agribusiness Unit capacity building, c) development of the multi-stakeholder KM Framework and System for ATA, d) M&E support via satellite data, e) the National Commodity Framework and e) preparation of the training curriculum for FMARD staff on policy development. Cost included workshops and consultants for the multi-stakeholder KM and Capdev trajectory, agribusiness training in FMARD, the scoping of satellite data for M&E and the commodity framework. Resource usage in the period Aug-Dec 2014 totaled 1.468.639 USD with 880.297 USD expenditures and remaining commitments of 588.342 USD leaving a balance for Jan.-June 2015 of 1.381.213 USD. The budget will be used to cover personnel cost and prioritised activities for institutionalization and upscaling. Where required, co-funding for activities will be sought. Activities scheduled for this period are the following:* Pursue the platform for dialogue of the Nigerian Agribusiness group with government
* Mainstream the National Commodity Certification framework in FMARD
* Outline the Nigeria Supplier security program.
* Support to the development of the National Agriculture & Nutrition policy by making existing policies and components accessible
* Prepare the FMARD capdev plan for adoption and implementation, especially in areas of Policy & Planning, KM, M&E and Agribusiness; Intensive capacity development for identified Ministry staff to enable commencement of agribusiness investment services
* Develop standardised tools and approaches for facilitating private investment supportive of agribusiness initiatives
* Develop and present the KM Framework to FMARD and key stakeholders for implementation, jointly with development partners as the World Bank.
* Tender and develop the KM system for ATA stakeholders to support knowledge sharing, management and learning.
* Start pilots for use of satellite data for M&E of ATA (crop yields and production) in 2 States jointly with FAO.
* Produce communication material on ATA as the Scorecard 2013 and report on ATA.
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| Budget or Financial Risks |

Are you aware of any significant risks or concerns that have not previously been identified, and that may affect your organization's ability to perform this grant within the designated budget? If so, how is your organization addressing those risks?

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| * The security situation is a potential risk for project performance as it may hinder travel to states as well as in more general terms agricultural progress in the country. At present project achievements can be obtained though there is a possibility of increased disturbances in view of upcoming elections
* The Transformation Agenda (ATA) has been set out by the present government and another government is likely to review this. However there appears to be consensus that ATA is core for development in Nigeria and to be sustained under any government.
* The availability of the remaining contribution of UNDP determines to what extent catalytic activities can take place or other funding has to be mobilized. This is likely to delay implementation and progress of some components.
* The activities to support development of capacity of FMARD are long-term in nature. An institutional momentum is being built-up by creating a critical mass of staff for longer-term visioning and organizational development in FMARD and partners. In order to allow this emerging capacity to be institutionalized and see result of the strategic but longer-term approach taken, continuation of the UNDP/BMGF support to FMARD is required.
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# For Foundation Staff to Complete

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| Analysis (required if contingent payment or PO assessment differs from grantee/vendor assessment) |

Progress Analysis

Include analysis of significant project variances and key learnings that may inform portfolio discussions for progress against the strategic goals.

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Budget & Financial Analysis

Include analysis of unexpended funds or over expenditures.

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| --- | --- |
| Scheduled Payment Amount | $ |

|  |  |
| --- | --- |
| Carryover Amount | $ |

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| Recommended Payment Amount | $ |

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| Approver Comments (if applicable) |

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| --- | --- | --- | --- | --- |
| Name |  | Title |  | Date |
|  |  |  |  |  |

Comments

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